

# UTILITY PATENT APPLICATION TRANSMITTAL

☒ DUPLICATE

|   |                                    |  |                   |
|---|------------------------------------|--|-------------------|
| Address to:<br><b>Box PATENT APPLICATION</b><br>Commissioner of Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | Attorney Docket No.<br>HSUY3004/EM | First Named Inventor<br>(or identifier)<br>Yen-Tseng HSU | Total Pages<br>30 |
|---|------------------------------------|--|-------------------|

22194 U.S. PTO  
 10/639466



Transmitted herewith is a patent application under 37 CFR 1.53(b).

|           |   |
|-----------|---|
| Entitled: | Method And System For Monitoring Volume Information In Stock Market |
|-----------|---|

- ☒ 1. Submitted herewith are the following:
- 19 pages of specification, including claims and Abstract.
  - 4 sheets of FORMAL drawings (Figs. 1-5).
  - 22 claims.
  - 1 Oath/Declaration signed by each inventor.
  - 1 Application Data Sheet.
  - 1 Assignment of the invention to Gofaser Technology Company, Taipei City, Taiwan, R.O.C.,  
Cover Sheet, and payment of the \$40 recordal fee.
  - 1 check in the amount of \$573 (\$375- Filing Fee; \$18- Extra Dependent Claim Fee; \$140 - Multiple Dependent Claim Fee; \$40- Assignment Recordation Fee).
- ☒ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: -- This application claims the benefit of provisional application number \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ 5. Insert before the first sentence of the specification: -- This application is a Continuation-in-part of nonprovisional application number \_\_\_\_\_ filed \_\_\_\_\_.
- ☐ 6. Other: \_\_\_\_\_

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

|   |            |        |            |  |            |
|---|------------|--------|------------|--|------------|
| THE FILING FEE IS CALCULATED AS FOLLOWS:  |            |        |            | Basic Fee:                               | \$750.00   |
| Total Claims:   | 22         | - 20 = | 2.00       | X \$18 =                                 | \$36.00    |
| Independent Claims:   | 2          | - 3 =  | 0          | X \$84 =                                 | \$0.00     |
| Correspondence Address:<br>BACON & THOMAS, PLLC<br>625 Slaters Lane, 4 <sup>th</sup> Floor<br>Alexandria, VA 22314-1176 |            |        |            | Multiple Dependent Claim (add \$280.00): | \$280.00   |
|   |            |        |            | Subtotal:                                | \$1,066.00 |
|   |            |        |            | 50% Reduction if Small Entity Status:    | \$533.00   |
| Phone: 703-683-0500      Fax: 703-683-1080  |            |        |            | Total:                                   | \$533.00   |
| Date:   | Name:      |        | Signature: |  | Reg. No.   |
| August 13, 2003   | Eugene Mar |        |            |  | 25,893     |